

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Douglas Gene Keithley

Serial No.:

Examiner:

Filing Date: August 27, 2003

Group Art Unit:

Title: IMAGE FORMING DEVICE AND METHOD

COMMISSIONER FOR PATENTS  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 Processing fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 processing fee under 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 50-1078 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1078 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449. References identified with an asterisk (\*) were disclosed in Patent Application No. \_\_\_\_\_ filed \_\_\_\_\_, now U. S. Patent No. \_\_\_\_\_, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

"Express Mail" label no. EK 916849956 US

Date of Deposit 08/27/2003

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

By   
Typed Name: Laurie Moreno

Respectfully submitted,

Douglas Gene Keithley

By 

D. James Chung

Attorney/Agent for Applicant(s)

Reg. No. 41,678

Date: 08/27/2003

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	10021272-1	
	APPLICANT <b>Douglas Gene Keithley</b>	
	FILING DATE	GROUP
	08/27/2003	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
	1A	6,250,742	06/26/01	Kido
	1B	6,321,055	11/20/01	Mashtare et al.
	1C	6,571,080	05/27/03	Lee et al.
	1D	6,580,896	06/17/03	Lee
	1E	5,659,849	08/19/97	Lindblad et al.
	1F			
	1G			
	1H			
	1I			
	1J			

## FOREIGN PATENT DOCUMENTS

	I	DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO
	1K					
	1L					
	1M					
	1N					
	1O					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1P	United States Patent Application entitled, "Print Line Segmentation." Publication No.: US 2003/0048472A1. Publication date: March 13, 2003. Inventors: Perdu et al.
1Q	United States Patent Application entitled, "Image Forming Method and Apparatus." Publication No.: US 2002/0115011A1. Publication date: August 22, 2002. Inventors: Komoto et al.
1R	United States Patent Application entitled, "Dry Powder Electrostatic Deposition Method and Apparatus." Publication No.: US 2002/0195053A1. Publication date: December 26, 2002. Inventors: Mey et al.

EXAMINER	DATE CONSIDERED
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\* Copies of these references are not enclosed pursuant to 37 CFR 1.98(d). (See accompanying IDS)

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1S	United States Patent Application entitled, "Toner, Image Forming Method and Process-Cartridge." Publication No.: US 2003/0134215A1. Publication date: July 17, 2003. Inventors: Kashiwabara et al.
1T	United States Patent Application entitled, "Image Forming Method, Image Forming Apparatus, Process Cartridge and Photoconductor." Publication No.: US 2003/0138711A1. Publication date: July 24, 2003. Inventors: Kabata et al.
1U	

EXAMINER	DATE CONSIDERED
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